

EL040480127US

JC525 U.S. PTO  
10/23/01

JC978 U.S. PTO  
10/035472  
10/23/01

IN THE UNITED STATES OF AMERICA  
PATENT AND TRADEMARK OFFICE

APPLICANT: Broshears  
  
TITLE: TRACH SENSORY ALERT SYSTEM  
  
ATTORNEY DOCKET NO.: 00-08377

COMMISSIONER OF PATENTS AND TRADEMARKS  
WASHINGTON, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): Loretta S. Broshears  
  
for TRACH SENSORY ALERT SYSTEM

This new application is for a(n)

- ☒ Original Utility
- ☐ Design
- ☐ Plant
- ☐ Divisional
- ☐ Continuation
- ☐ Continuation-in-part

Enclosed are:

- 5 Pages of specification
- 1 Page of claims
- 1 Page of abstract
- 1 Sheet of drawings
- ☐ formal
- ☒ informal

Additional papers enclosed:

- ☐ Information Disclosure Statement
- ☒ Combined Declaration and Power of Attorney
- ☐ Assignment of the invention to \_\_\_\_\_

The inventorship for all the claims in this application are:

- ☒ the same
- ☐ are not the same. An explanation, including the ownership of the various claims at the time the last claimed invention was made,
  - ☐ is submitted
  - ☐ will be submitted

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CLAIMS AS FILED

BASIC FEE  
(\$740.00)

Total					
Claims	1	-20 = 0	X	\$18.00	0.00
Independent					
Claims	1	-3 = 0	X	\$84.00	0.00
Multiple dependent					
Claims		0	X	\$280.00	0.00
Total Filing Fee				\$	<u>740.00</u>

☒ Verified Statement(s) that this is a filing by a small entity under 37 CFR 1.9 and 1.27 is(are) attached.

Filing Fee Calculation (50% of above) \$ 370.00

Fee Payment Being Made At This Time:

☐ Not Enclosed  
☒ Enclosed  
☒ Basic filing fee \$ 370.00  
☐ Assignment \$ \_\_\_\_\_

Method of Payment of Fees:


☒ Check in the amount of \$ 370.00  
☐ Charge Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_

In Case of Overpayment:

☒ refund

Reg. No.: 36,462

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100344-10301

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CERTIFICATE OF MAILING


I hereby certify that this paper (along with any paper attached hereto or enclosed herewith) is being deposited on the date shown below with the U.S. Postal Service in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

☐ 37 CFR 1.8(a) with sufficient postage as first class mail;

☒ 37 CFR 01.10 as "Express Mail Post Office to Addressee"  
Mailing Label No. EL040450127US

- 1) New Application Transmittal;
- 2) Verified Statement Claiming  
Small Entity Status
- 3) Combined Declaration and Power  
Of Attorney;
- 4) Request and Certification Under  
35 U.S.C. 122(b)(2)(B)(i);
- 5) 6 pages of Specification and Claims;
- 6) 1 page of Drawings;
- 7) 1 page Abstract; and
- 8) Check in the amount of \$370.00.

Date October 23, 2001

  
JOSEPH N. BREAU

EL04045012705

IN THE UNITED STATES OF AMERICA  
PATENT AND TRADEMARK OFFICE

APPLICANT: Broshears


TITLE: TRACH SENSORY ALERT SYSTEM

ATTORNEY DOCKET NO.: 00-08377

**REQUEST AND CERTIFICATION UNDER 35 U.S.C.  
122 (b) (2) (B) (I)**

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

October 23, 2001  
DATE

  
\_\_\_\_\_  
SIGNATURE

JOSEPH N. BREAU  
TYPED OR PRINTED NAME  
ATTORNEY FOR APPLICANT

10/23/01 10:54:00